

ATTORNEY DOCKET NO. 0074/073001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Shinji INOUE et al.

Art Unit:

Application No.: filed concurrently

Examiner:

Filed

: May 18, 2006

Title

: RECORDING MEDIUM ACCESS DEVICE AND RECORDING

MEDIUM ACCESS METHOD

Mail Stop PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), applicants request that the references cited on attached form PTO-1449 (a copy of which is enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104.

Enclosed also please find a copy of the International Search Report citing eight of these references. The relevance of these eight references can be seen from the International Search Report. The English abstracts for the Japanese Patent Application Publications are also enclosed.

Please note that two of the enclosed Japanese Patent Application Publications and the 2 technical literature publications are discussed on pages 3-4 of the specification.

Please also note that enclosed are copies of U.S. Patent No. 6,493,787, U.S. Patent Application Publication Nos. 2003-0109179, 2003-0137887 and 2004-0123059 which are the English language counterparts for Japanese Patent Application Publication Nos. 2000-259783, 2001-306182, 2003-288564 and 2004-86503, respectively.

This Statement is being filed under 37 CFR 1.97(b) prior to the mailing date of a first Office Action on the merits.



Information Disclosure Statement Applicants: Shinji INOUE et al.

Title: RECORDING MEDIUM ACCESS DEVICE AND RECORDING

MEDIUM ACCESS METHOD

Filing Date: May 18, 2006

Page 2

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached at the telephone number listed below. All correspondence should continue to be directed to our address below.

Respectfully submitted,

Date: May 18, 2006

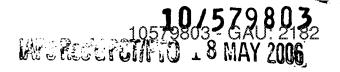
Wandolph A. Smith Reg. No. 32,548

SMITH PATENT OFFICE

1901 Pennsylvania Ave., N.W. Suite 901

Washington, DC 20006-3433 Telephone: 202/530-5900 Facsimile: 202/530-5902

Inoue051806



Substitute for form 1449A/PTO	Complete if Known			
INFORMATION DISCLOSURE	Application Number	Filed concurrently		
STATEMENT BY APPLICANT	Filing Date	May 18, 2006		
	Applicants	Shinji INOUE et al.		
(use as many sheets as necessary)	Group Art Unit			
	Examiner Name			
Sheet 1 of 3	Attorney Docket Number	0074/073001		

					U.S. P	ATENT DOCUMEN	TS			
EXAMINER INITIAL*	Cite No. 1	DOCUMENT NUMBER Kind Code ²				NAME	DATE MM-YYYY	Where Relevant Passage	ages, Columns, Lines, elevant Passages or Relevan Figures Appear	
		(if know		<u> </u>	YAMA	MOTO et al.	12/2002			
	 	2003	-0109179	A	KANESHIRO et al. 06		06/2003	-	<u></u> _	
		2003	-0137887	A	NAKAI	BE et al.	07/2003		-	
	•		-0123059	A	SUDA		06/2004			
								-		
	<u> </u>									
	<u> </u>				ł					
	 									
							_			
	ļ			,						
		<u> </u>					·			
	1	Foreig	n Patent Docur		DREIGN	PATENT DOCUM	ENTS	T	T	
EXAMINER INITIAL*	Cite No. 1	Kind C Office ³	ode⁵	Number	r ⁴	NAME	DATE MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆	
		JP	63-159460 U		U	OGAWA	10/1988			
		JP	6-195524	6-195524 A		UMEDA et al.	07/1994		Х	
	1	JP	8-272541		Α	OTSUKA et al.	10/1996		Х	
		JP	10-11632	9	Α	ISHIKAWA	05/1998		X	
	 	JP	2000-259	783	Α	YAMAMOTO et al.	09/2000		Abstrac	
		JP	2001-290708		A	IIZUKA	10/2001		x	
	 .	JP	2001-306	182	A	KANESHIRO et al.	11/2001		Abstrac	
		JP	2003-233	795	Α	HIROTA et al.	08/2003		x	
	1	1		564	A	NAKABE et al.	10/2003		Abstrac	

EXAMINER /Eron Sorrell/ DATE CONSIDERED 07/08/2009

^{*}Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

•				BE OTTOO TO THE MINT				
Substitu	te for form	1449A/PT	0	Complete if Known				
INFORMAT	ION I	DISCL	OSURE	Application Number	Filed concurrently			
STATEMEN	NT BY	APPL	.ICANT	Filing Date	May 18, 2006			
				Applicants	Shinji INOUE et al.			
(use as many she	(use as many sheets as necessary)		Group Art Unit					
				Examiner Name				
Sheet	. 2	of	3	Attorney Docket Number	0074/073001			
			11.9	PATENT DOCUMENTS				

EXAMINER INITIAL*	Cite No. 1	DOCUMENT NUMBER Kind Code ²			· · -	NAME		DATE MM-YYYY	Pages, Columns, Where Relevant Passag Figures Appe	Lines, es or Relevant ear
		(if knov	wn)							
	 		· · · · · ·	 			-			
				+ +		-				
	 		-	+ +					*	
	ł									
	<u> </u>									
									<u> </u>	
	-			 						
		<u> </u>		1						
				1						
				1					· · · · · · · · · · · · · · · · · · ·	
		<u> </u>		<u></u> _			200111			
					REIGN	PATENT	DOCUM	ENIS		1
EXAMINER	Cite	Foreigi	n Patent Docun	nent				DATE	Pages Columns Lines	r⁵
INITIAL*	Cite No. 1	Kind C Office ³ (if know	ode ⁵	Number ⁴	ı	•	IAME	MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		JP	2004-865	03	Α	SUDA		03/2004		Abstrac
					 					_
				·	1					
					ļ					
				-10.	 	<u> </u>			-	
			ļ		<u> </u>					ļ
			 		 	 			-	
					L					ļ
			İ							
		ı	l		1	l .			·	

EXAMINER /Eron Sorrell/ DATE CONSIDERED 07/08/2009

*Examiner: considered. Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

1051065 GAB 2182

07/08/2009

IAP9 Rec'd PCT/PTO 18 MAY 2006

Substitute for form 1449A/PTO	Complete if Known				
INFORMATION DISCLOSURE	Application Number	Filed concurrently			
STATEMENT BY APPLICANT	Filing Date	May 18, 2006			
	Applicants	Shinji INOUE et al.			
(use as many sheets as necessary)	Group Art Unit				
	Examiner Name				
Sheet 3 of 3	Attorney Docket Number	0074/073001			

		NON PATENT LITERATURE DOCUMENTS	
EXAMINER INITIAL*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
		"Information Technology-Volume and File Structure of Disk Cartridges for Information	
		Interchange", November, 1994, pp. 1-40, second edition, ISO/IEC 9293, ISO/IEC, Switzerland.	
		"OSTA Universal Disk Format Specification", February, 1997, pp. 1-112, revision 1.50, Optical	
		Storage Technology Association, Santa Barbara, USA.	· ·

DATE CONSIDERED EXAMINER *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

/Eron Sorrell/

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.